DORA Maintenance Checklist

12 things that go stale after the deadline (and what auditors ask next)

The goal isn't a one-time DORA compliant checkbox. The goal is repeatable evidence freshness. Use this checklist to identify what needs regular review.

#	What Goes Stale	Why It Drifts	Auditors Ask	Evidence to Keep
1	ICT Asset & Service Inventory	New services/tools not reflected	Show your current critical services and dependencies.	Inventory export, ownership, last review date
2	Critical/Important Functions Mapping	Systems evolve, classification doesn't	Which functions are critical and why?	Mapping table, criteria, approval
3	Third-Party / ICT Vendor Register	New vendors, changed scope, shadow SaaS	List ICT third parties and materiality.	Vendor register, contracts, due diligence notes
4	Outsourcing & Exit Plans	Exit plans exist on paper only	How do you exit Vendor X in practice?	Exit plan, tested steps, RTO assumptions
5	Access Reviews (Privileged + Production)	People/roles change, reviews not repeated	Show last access review and remediation.	Review report, approvals, tickets
6	Logging & Monitoring Coverage	New components without logs/alerts	What do you monitor for critical services?	Log sources list, alert rules, on-call playbooks
7	Incident Response Playbooks	Contacts, escalation, tooling changes	Walk us through a real incident path.	IR runbook, postmortems, comms templates
8	BCP/DR & Resilience Testing	Tests not executed or not updated	When did you last test DR and what changed?	Test results, action items, retest proof
9	Change Management & Release Controls	Process exists but evidence is weak	Show change approvals for production.	Change logs, PR/issue linking, approvals
10	Risk Register Updates	Risk register becomes a museum	What changed since last assessment?	Risk register diffs, review cadence, decisions
11	Data Backups & Restore Proof	Backups exist, restores aren't tested	Show last restore test result.	Backup policy, restore drills, logs
12	Policy ↔ Reality Drift	Policies remain static while systems change	How do policies reflect actual operations?	Policy mapping to controls + supporting docs

Keep this checklist current - automatically.

AlturaQuantera helps you maintain evidence freshness:
documents → controls → gaps → policies → repeat.